General information about company Scrip code NSE Symbol 000000 MSEI Symbol CRESCENT INE147E01013 Name of the entity CRESCENT FINSTOCK LIMITED 01-04-2022 Date of start of financial year Date of end of financial year 31-03-2023 Reporting Quarter Yearly Date of Report 31-03-2023 Risk management committee Not Applicable Market Capitalisation as per immediate previous Financial Year Any other Annexure I Annexure I to be submitted by listed entity on quarterly basis I. Composition of Board of Directors osure of notes on composition of board of directors explanatory Whether the listed entity has a Regular Chairperson Disqualification of Directors under section 164 of the Whether Chairperson related to Promoter No Companies Act, 2013 No of post Chairperson Number of No of Independent nemberships in Audit/ Directorship Directorship Whether in Audit/ Stakeholder in listed in listed Stakeholder Committee special entities entities Committee(s) resolution Date of held in Initial Date Date Whether the of including including Date of Re-Category 1 Category 2 Start Date of End Date of Details of Date of including this PAN DIN 3 of of director is this listed of this listed director disqualification disqualification appointment listed entity of directors of directors disqualification [Refer Reg. special entities ctor Birth disqualified? entity (Refer directors appointmen (in entity 17(1A) of resolution (Refer including months) Regulation (Refer Regulation this listed Listing Regulation Regulations] 26(1) of entity (Refer 17A(1) of Listing Regulation Regulations) Listing Regulations) 26(1) of Regulations Listing Regulations Non-Executive AABPD7099P Chairpersor 08-No 23-10-2017 23-10-2022 Active NA Independen 1956 Director Nonin ACUPJ6284F 00507526 09-05-1998 Non 02-No Active NA Applicable Independent 1961 Director Non-Executive 06-ACUPJ6283C 00507482 09-05-1998 Non 12-No Active NA Applicable Independen 1957 Director 18-Executive AFAPJ2195N 08005196 09-No Active NA 29-11-2017 29-11-2022 31 anprasad Director Applicable 1961 I. Composition of Board of Directors Disclosure of notes on composition of board of directors explanatory Whether the listed entity has a Regular Chairperson No of post No of Chairperson Number of Independent memberships in Audit/ Directorship Directorship Whether in Audit/ Stakeholder in listed in listed special Stakeholder Tenure entities entities resolution Date of held in Committee(s) Category Category Whether the Initial Date including including of the Category 1 Start Date of End Date of Details of passed? Date of Re-Date of Current passing including this listed PAN 2 of director is this listed 3 of of of director this listed disqualification appointment of directors disqualification disqualification Refer Reg. listed entity entities appointment disqualified? directors directors Birth entity (Refe entity 17(1A) of including resolution (Refer months) Regulation (Refer this listed Listing Regulation 17A of Regulation Regulations] 26(1) of entity (Refer 17A(1) of Listing Listing Regulation Regulations) Listing Regulations) Regulations Listing Regulations) Non-Executive -15-10-2020 nakrishna AARPI3069E 08920479 02-No Active NA 30 Applicable Independent 1965 Director **Audit Committee Details** Whether the Audit Committee has a Regular Chairperson Yes DIN Date of Date of Category 2 of Name of Committee members Category 1 of directors Remarks Appointment Number directors Cessation Non-Executive -01877999 John Antony D'Souza Chairperson 23-10-2017 Independent Director Ganesh Shivaramakrishna Non-Executive -08920479 Member 15-10-2020 Independent Director Chandramohan 08005196 **Executive Director** Member 29-11-2022 Govardhanprasad Jakhmola Nomination and remuneration committee Whether the Nomination and remuneration committee has a Regular Chairperson Yes DIN Date of Name of Committee Category 2 of Date of Category 1 of directors Remarks Number members directors Appointment Cessation Ganesh Non-Executive -08920479 15-10-2020 Chairperson Independent Director Shivaramakrishna Iyer Non-Executive -01877999 23-10-2022 John Antony D'Souza Member Independent Director Non-Executive - Non 00507482 09-05-1998 Bharati Jain Member Independent Director **Stakeholders Relationship Committee** Whether the Stakeholders Relationship Committee has a Regular Chairperson Yes Name of Committee Date of DIN Category 2 of Date of Category 1 of directors Remarks Number members directors Appointment Cessation Non-Executive - Independent 01877999 John Antony D'Souza Chairperson 23-10-2017 Director Non-Executive - Non 09-05-1998 00507526 Nitish Jain Member **Independent Director** Non-Executive - Non 00507482 Bharati Jain Member 09-05-1998 Independent Director **Risk Management Committee** Whether the Risk Management Committee has a Regular Chairperson DIN Name of Committee Date of Category 1 of Category 2 of Date of Remarks directors Appointment Number members directors Cessation **Corporate Social Responsibility Committee** Whether the Corporate Social Responsibility Committee has a Regular Chairperson No Category 1 of Category 2 of DIN Name of Committee Date of Date of Number members Appointment Cessation **Other Committee** DIN Number Category 2 of directors | Remarks Name of Committee members Name of other committee Category 1 of directors **Annexure 1** Annexure 1 **III. Meeting of Board of Directors** Disclosure of notes on meeting of board of directors explanatory Number of Date(s) of Date(s) of No. of Total Number Maximum gap Whether Notes for Directors present* meeting (if meeting (if Independent of Directors between any two requirement of (All directors not any) in the Directors any) in the Quorum met as on date of including consecutive (in providing previous attending the current Independent number of days) Date (Yes/No) the meeting quarter quarter meeting* Director) 14-11-2022 Yes 13-02-2023 5 Yes **Annexure 1 IV. Meeting of Committees** Disclosure of notes on meeting of committees explanatory Date(s) of No. of Total meeting Number of Maximum members No. of Number attending (Enter dates Whether Directors gap Reson for Independent of between Name of of Previous requirement (All the Directors Name of Directors of Quorum directors quarter and any two other meeting Committee providing attending as on including Current consecutive committee (other met the date date of quarter in (Yes/No) (in number Independent than meeting* the chronological Board of of days) director) meeting order) Directors) Audit 14-11-2022 Yes 3 Committee Audit 3 13-02-2023 Yes Committee Nomination and 13-02-2023 Yes 3 2 0 remuneration committee Stakeholders 13-02-2023 Yes 3 3 2 0 Relationship Committee **Annexure 1 V. Related Party Transactions** If status is Nodetails of non-Compliance status Sr Subject (Yes/No/NA) compliance may be given here. Whether prior approval of audit committee obtained Yes Whether shareholder approval obtained for material RPT NA Whether details of RPT entered into pursuant to omnibus approval Yes have been reviewed by Audit Committee **Annexure 1** VI. Affirmations Compliance status Sr Subject (Yes/No) The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Yes Regulations, 2015 The composition of the following committees is in terms of SEBI(Listing obligations and disclosure Yes requirements) Regulations, 2015 a. Audit Committee The composition of the following committees is in terms of SEBI(Listing obligations and disclosure Yes requirements) Regulations, 2015. b. Nomination & remuneration committee The composition of the following committees is in terms of SEBI(Listing obligations and disclosure Yes requirements) Regulations, 2015. c. Stakeholders relationship committee The composition of the following committees is in terms of SEBI(Listing obligations and disclosure NArequirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities) The committee members have been made aware of their powers, role and responsibilities as specified in SEBI Yes (Listing obligations and disclosure requirements) Regulations, 2015. The meetings of the board of directors and the above committees have been conducted in the manner as specified Yes in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Yes **Annexure 1** Subject Compliance status Name of signatory MEHNUDDIN KHAN Designation Company Secretary and Compliance Officer **Annexure II** Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year) I. Disclosure on website in terms of Listing Regulations If status is Nodetails of non-Compliance status Sr Item Web address (Yes/No/NA) compliance may be given here. Details of business Yes http://www.crescentfinstock.com/ Terms and conditions of appointment of Yes http://www.crescentfinstock.com/ independent directors Composition of various committees of Yes http://www.crescentfinstock.com/ board of directors Code of conduct of board of directors http://www.crescentfinstock.com/ Yes and senior management personnel Details of establishment of vigil Yes http://www.crescentfinstock.com/ mechanism/ Whistle Blower policy Criteria of making payments to nonhttp://www.crescentfinstock.com/ Yes executive directors Policy on dealing with related party Yes http://www.crescentfinstock.com/ transactions Policy for determining material Yes http://www.crescentfinstock.com/ subsidiaries Details of familiarization programmes Yes http://www.crescentfinstock.com/ imparted to independent directors **Annexure II** Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year) I. Disclosure on website in terms of Listing Regulations Compliance If status is Noldetails status of non-compliance Web address (Yes/No/NA) may be given here. Contact information of the designated officials of the 10 listed entity who are responsible for assisting and Yes http://www.crescentfinstock.com/ handling investor grievances email address for grievance redressal and other http://www.crescentfinstock.com/ Yes relevant details 12 Financial results Yes http://www.crescentfinstock.com/ Shareholding pattern Yes http://www.crescentfinstock.com/ Details of agreements entered into with the media NA companies and/or their associates Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or NA institutional investors simultaneously with submission to stock exchange New name and the old name of the listed entity NA Advertisements as per regulation 47 (1) Yes http://www.crescentfinstock.com/ Credit rating or revision in credit rating obtained NA Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant http://www.crescentfinstock.com/ Yes financial year Whether company has provided information under Yes http://www.crescentfinstock.com/ separate section on its website as per Regulation 46(2) Materiality Policy as per Regulation 30 Yes http://www.crescentfinstock.com/ Dividend Distribution policy as per Regulation 43A NA (as applicable) It is certified that these contents on the website of the Yes http://www.crescentfinstock.com/ listed entity are correct **Annexure II II. Annual Affirmations** If status is Noldetails of non-Regulation Compliance status Particulars Number (Yes/No/NA) compliance may be given here. Independent director(s) have been appointed in terms of 16(1)(b) & Yes specified criteria of independence and/or eligibility 25(6) 17(1), 17(1A) & Yes Board composition 17(1B) Meeting of Board of directors 17(2) Yes Quorum of Board meeting 17(2A) Yes Review of Compliance Reports 17(3) Yes Plans for orderly succession for appointments 17(4) Yes 17(5) Code of Conduct Yes Fees/compensation 17(6) Yes Minimum Information 17(7) Yes Compliance Certificate 17(8) Yes **Annexure II II. Annual Affirmations** Regulation Compliance status If status is Nodetails of non-compliance Sr Particulars (Yes/No/NA) may be given here. Number 11 Risk Assessment & Management 17(9) Yes Performance Evaluation of Independent 17(10) Yes Directors 13 Recommendation of Board 17(11) Yes 14 | Maximum number of Directorships 17A Yes Composition of Audit Committee 18(1) Yes 16 Meeting of Audit Committee 18(2) Yes Composition of nomination & remuneration 19(1) & (2) Yes Quorum of Nomination and Remuneration 19(2A) Yes Committee meeting Meeting of Nomination and Remuneration 19(3A) Yes Committee Composition of Stakeholder Relationship 20(1), 20(2) & Yes **Annexure II II. Annual Affirmations** Regulation If status is Noldetails of non-Compliance status Particulars Number (Yes/No/NA) compliance may be given here. 21 Meeting of Stakeholders Relationship Committee 20(3A) Yes Composition and role of risk management 21(1),(2),(3),(4) NA committee Meeting of Risk Management Committee 21(3A) NA 22 Vigil Mechanism Yes 23(1),(1A),(5),(6), Policy for related party Transaction Yes (7) & (8)Prior or Omnibus approval of Audit Committee 23(2), (3) Yes for all related party transactions NA Approval for material related party transactions 23(4) Disclosure of related party transactions on 23(9) Yes consolidated basis Composition of Board of Directors of unlisted 24(1) Yes material Subsidiary 24(2),(3),(4),(5) & Other Corporate Governance requirements with Yes respect to subsidiary of listed entity **Annexure II II. Annual Affirmations** If status is Noldetails of non-Regulation Compliance status Sr Particulars compliance may be given here. Number (Yes/No/NA) 31 Annual Secretarial Compliance Report 24(A) Yes Alternate Director to Independent Director 25(1) NΑ 33 25(2) Maximum Tenure Yes Meeting of independent directors 25(3) & (4) Yes Familiarization of independent directors 25(7) Yes Declaration from Independent Director 25(8) & (9) Yes D & O Insurance for Independent Directors 25(10) NA 26(1) Memberships in Committees Yes Affirmation with compliance to code of conduct from members of Board of Directors and Senior management 26(3) Yes 40 Disclosure of Shareholding by Non-Executive Directors 26(4) Yes Policy with respect to Obligations of directors and senior 26(2) & 26(5) Yes management Any other information to be provided - Add Notes **Annexure II** MEHNUDDIN KHAN Name of signatory Company Secretary and Compliance Officer Designation **Annexure II** III. Affirmations **Compliance status Particulars** (Yes/No/NA) The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements Yes with respect to subsidiary of Listed Entity have been complied Any other information to be provided **Annexure II** Name of signatory MEHNUDDIN KHAN Designation Company Secretary and Compliance Officer **Additional Half yearly Disclosure** Applicability of disclosure Not Applicable Reason for Non Applicability Textual Information(1) **Signatory Details** MEHNUDDIN KHAN Name of signatory Designation of person Company Secretary and Compliance Officer Place Mumbai 12-04-2023 Date