

CRESCENT FINSTOCK LIMITED

CORPORATE OFFICE :
1ST FLOOR, KOHINOOR CITY MALL,
PREMIER ROAD, KURLA WEST,
MUMBAI - 400070
TEL.: 91-22-6188 7600 / 6130 9191

Date: 6th May, 2022

To,
Metropolitan Stock Exchange of India Limited,
Vibgyor Towers, 4th Floor, Plot No. C 62,
G-Block, Opp. Trident Hotel, Bandra Kurla Complex,
Bandra (E), Mumbai - 400098

Sub.: Annual Secretarial Compliance Report for the Year Ended March 31, 2022 Ref: SEBI
Circular No. CIR/CFD/CMD1/ 2712019 dated 8th February, 2019.

Symbol: CRESCENT

Dear Sir / Madam,


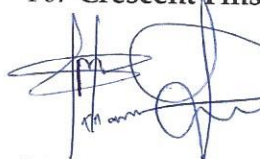
Pursuant to SEBI Circular No.CIR/CFD/CMD1/27/2019 dated February 8, 2019, we attach herewith Annual Secretarial Compliance Report issued by Practicing Company Secretary for the year ended March 31, 2022.

Kindly take the same on record.

Thanking you,

Yours faithfully,

For Crescent Finstock Limited



Mehnuddin Khan
Company Secretary & Compliance Officer
Membership No. A40156

MAYANK ARORA & Co.

COMPANY SECRETARIES

Secretarial Compliance Report of Crescent Finstock Limited for the Financial Year ended March 31, 2022

I, Mayank Arora, Practicing Company Secretary, proprietor of M/s. Mayank Arora & Co., Company Secretaries have examined:

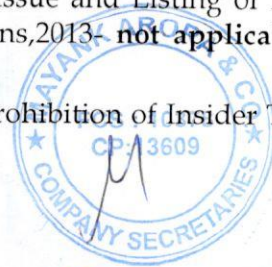
- (a) all the documents and records made available to us and explanation provided by **Crescent Finstock Limited** ("the listed entity"),
- (b) the filings / submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

For the year ended March 31, 2022 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 - **not applicable to the Company during the period under review;**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014- **not applicable to the Company during the period under review;**
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008- **not applicable to the Company during the period under review;**
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013- **not applicable to the Company during the period under review;**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;



- (i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 1996 and Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;

and circulars/ guidelines issued thereunder;

and based on the above examination, I/We hereby report that, during the Review Period:

- (a) The listed entity has generally complied with the provisions of the above Regulations and circulars/guidelines issued thereunder, except in respect of matters specified below:-

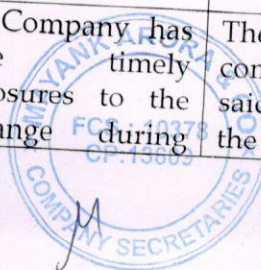
Sr. No	Compliance Requirement (Regulations/ circulars/ guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1.	Trading Window Closure - SEBI (Prohibition of Insider Trading Regulation), 2015	Delay in intimation of Trading Window Closure for quarter ended December 31, 2021	There was a delay in intimation of Trading Window Closure for quarter ended December 31, 2021. The required intimation of Trading Window Closure was to be made end of the quarter however, the same was intimated on January 03, 2022 to the Stock Exchange.

- (a) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/guidelines issued there under in so far as it appears from my/our examination of those records.

- (b) Action taken against the listed entity/its promoters/directors/material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/Regulations and circulars/guidelines issued thereunder : NIL

- (c) The reporting of actions by the listed entity to comply with the observations made in previous reports -

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31.03.2022	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1.	SEBI (Prohibition of Insider Trading Regulation), 2015.	There was a delay in intimation of Trading Window Closure for quarter	The Company has made timely disclosures to the Exchange during	The Company has complied with the said Compliance in the reporting



	The Company delayed in Intimation of Trading Window Closure for June 2020 Quarter.	ended December 31, 2021. The required intimation of Trading Window Closure was to be made before end of the quarter however; the same was done on January 03, 2022 to the Stock Exchange.	the current reporting period except for delay in intimation of trading window closure for quarter ended on December 31, 2021.	Financial year except for delay in intimation of trading window closure for quarter ended on December 31, 2021.
2.	Regulation 31 of SEBI (Listing Obligation and Disclosure Requirements), 2015 The Company delayed in filing Shareholding pattern for March, 2020 Quarter with the stock exchange.	No Observations made as the Company has made timely disclosures to the Exchange.	The Company has made timely disclosures to the Exchange during the current reporting period.	The Company has complied with the said Compliance in the reporting Financial year.
3.	Filing of Annual Reports in XBRL Mode- Circular no. LIST/COMP/40/2018-19 The Company did not file the Annual Report in XBRL Mode, however, the Company has filed the Annual Report with MSE in PDF format as required under Regulation 34 of SEBI (LODR) Regulations, 2015	The Company has not filed the Annual Report in XBRL Mode as there is no separate section for its filing on MSE website as per the explanation given by the Company.	The Company has communicated to MSE via mail and asked for solution relating to this compliance	For ensuring the compliance in respect of Filing of Annual Reports in XBRL Mode - Circular no. LIST/COMP/40/2018-19, the company is communicating with the MSE as there is no separate section for uploading the Annual Report in XBRL Mode.

Date: 06/05/2022

Place: Mumbai

For Mayank Arora & Co.

Company Secretaries

(ICSI Unique Code S2014MH267200)

Mayank Arora

Proprietor

FCS No. 10378

C.P. No. 13609

PR No. 679/2020

UDIN: F010378D000278679

